



IPW

the United States Patent and Trademark Office

Applicant: Donald J. McMichael Docket No.: 19480
Serial No.: 10/639,057 Group: 3761
Confirmation No: 6758 Examiner:
Filed: August 11, 2003
For: Catheter Having a Balloon Member Recessedly Attached Thereto

**Information Disclosure Statement Pursuant to 37 C.F.R. 1.97(c) (1)
Before Final Rejection or Notice of Allowance
Certification Under 37 C.F.R. 1.97(e)(1) - Items Contained In a Search Report**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. 1.56 and in accordance with 37 C.F.R. 1.97 et seq., Applicant, through and by his attorneys, hereby wishes to direct the Examiner's attention to the hereinafter disclosed documents.

By inclusion of any given document in this Information Disclosure Statement, Applicant in no way admits that such document is effective as prior art against the above-identified application under either 35 U.S.C. 102 or 35 U.S.C. 103.

Additionally, submission of any document is not to be taken as an admission of the materiality of the document to the prosecution of the present application.

The Examiner is requested to review each cited document and personally determine its prior art status.

Applicant recommends that the Examiner conduct an independent search for any and all available material prior art and independently review the results of such search and the documents cited within this Statement.

Attached is a filled-in modified PTO-1449 form listing all of the documents cited in this Statement. A copy of each non-U.S. Patent document and of each non-patent document listed on the form is also presented herewith for the Examiner's review and convenience. Cited U.S. patents and U.S. patent application publications are not included in accordance with the U.S. Patent Office waiver of the

requirement under 37 C.F.R. 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003.

In accordance with 37 C.F.R. 1.97(e)(1), the undersigned certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Applicant requests the Examiner, in accordance with 37 C.F.R. 1.97, to indicate and make of record receipt and review of all of these documents by initialing the appropriate box in the accompanying PTO-1449. Applicant requests that the undersigned receive a copy of the initialed PTO-1449.

If the Examiner refuses to consider any or all of the herein listed materials because it is the Examiner's opinion that this Information Disclosure Statement is not in compliance with 37 C.F.R. 1.97, Applicant respectfully requests that the Examiner notify the undersigned, in writing, as to the basis of such opinion.

Please charge any prosecutorial fees which are due to Kimberly-Clark Worldwide, Inc. deposit account number 11-0875.

The undersigned may be reached at: (770) 587-7183.

Respectfully submitted,

DONALD J. MCMICHAEL

By: _____

William W. Letson

Registration No.: 42,797

CERTIFICATE OF MAILING

I, William W. Letson, hereby certify that on Oct. 18, 2004 this document is being deposited with the United States Postal Service as first-class mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: _____

William W. Letson



List of Patents and Publications for Information Disclosure Statement

Applicant(s): Donald J. McMichael
Serial No.: 10/639,057
Filed: August 11, 2003

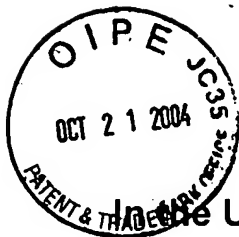
Docket 19480
Group: 3761
Examiner:

U.S. PATENT DOCUMENTS								
Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date	
	A1	4,531,943	7/30/1985	Van Tassel et al.				
	A2	4,927,412	5/22/1990	Menasche				
	A3	5,707,357	1/13/1998	Mikhail et al.				
	A4	2002/0198491	12/26/2002	Miller et al.				
Foreign Patent Documents								
							Trans.	
Initials		Doc. No.	Date	Country	Class	Subclass	Yes	No
	A1							
	A2							
Other Documents								
Initials		Author, Title, Date, Pages, etc.						
	A1							
	A2							

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with your next communication.

Examiner:

Date Considered:



In the United States Patent and Trademark Office

Applicant: Donald J. McMichael Docket No.: 19480
Serial No.: 10/639,057 Group: 3761
Confirmation No: 6758 Examiner:
Filed: August 11, 2003
For: Catheter Having a Balloon Member Recessedly Attached Thereto

**Supplemental Information Disclosure Statement Pursuant to 37 C.F.R. 1.97(b)
Before First Office Action or Within Three Months of Filing Date**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. 1.56 and in accordance with 37 C.F.R. 1.97 et seq., Applicant, through and by his attorneys, hereby wishes to direct the Examiner's attention to the documents listed on the modified PTO 1449 Form. A copy of each non-U.S. patent document listed on the Form is also presented herewith for the Examiner's review and convenience.

By inclusion of any given document in this Information Disclosure Statement, Applicant in no way admits that such document is effective as prior art against the above-identified application under either 35 U.S.C. 102 or 35 U.S.C. 103.

Additionally, submission of any document is not to be taken as an admission of the materiality of the document to the prosecution of the present application.

The Examiner is requested to review each cited document and personally determine its prior art status.

Applicant recommends that the Examiner conduct an independent search for any and all available material prior art and independently review the results of such search and the documents cited within this Statement.

Applicant requests the Examiner, in accordance with 37 C.F.R. 1.97, to indicate and make of record receipt and review of all of these documents by initialing the appropriate box in the accompanying PTO-1449. Applicant requests that the undersigned receive a copy of the initialed PTO-1449.

If the Examiner refuses to consider any or all of the herein listed or submitted materials because it is the Examiner's opinion that this Information Disclosure Statement is not in compliance with 37 C.F.R.

1.97, Applicant respectfully requests that the Examiner notify the undersigned, in writing, as to the basis of such opinion.

Please charge any prosecutorial fees which are due to Kimberly-Clark Worldwide, Inc. deposit account number 11-0875.

The undersigned may be reached at: (770) 587-7183.

Respectfully submitted,

DONALD J. MCMICHAEL


By: 

William W. Letson

Registration No.: 42,797

CERTIFICATE OF MAILING

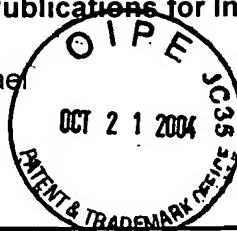
I, William W. Letson, hereby certify that on Oct. 18, 2004 this document is being deposited with the United States Postal Service as first-class mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: 

William W. Letson

List of Patents and Publications for Information Disclosure Statement

Applicant(s): Donald J. McMichael
 Serial No.: 10/639,057
 Filed: August 11, 2003



Docket: 19480
 Group: 3761
 Examiner:

U.S. PATENT DOCUMENTS							
Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
	A1	1,598,283	8/31/1926	Kinney			
	A2	3,544,668	12/1/1970	Dereniuk			
	A3	4,213,461	7/22/1980	Pevsner			
	A4	4,284,459	8/18/1981	Patel et al.			
	A5	4,447,228	5/8/1984	Patel			
	A6	4,976,710	12/11/1990	Mackin			
	A7	5,074,845	12/24/1991	Miraki et al.			
	A8	5,087,394	2/11/1992	Keith			
	A9	5,324,260	6/28/1994	O'Neill et al.			
	A10	5,370,618	12/6/1994	Leonhardt			
	A11	5,709,691	1/20/1998	Morejon			
	A12	5,762,996	6/9/1998	Lucas et al.			
	A13	5,860,952	1/19/1999	Quinn			
	A14	5,860,960	1/19/1999	Quinn			
	A15	5,879,499	3/9/1999	Corvi			
	A16	6,506,179	1/14/2003	Tiefenthal et al.			
	A17	6,740,273	5/25/2004	Lee			
Foreign Patent Documents							
Initials		Doc. No.	Date	Country	Class	Subclass	Trans. Yes No
	A1	WO 00/23136	4/27/2000	WO-PCT			
	A2	WO 02/051490	7/4/2002	WO-PCT			
Other Documents							
Initials		Author, Title, Date, Pages, etc.					
	A1						

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with your next communication.

Examiner:

Date Considered: